

## Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Council Wide	ICT Action Plan	1	Audit in Progress				Brought forward from 18/19 plan. Engagement planning meeting delayed due to C19 impact. Audit work due to be concluded July 2020. Audit outcome to be reported to October 20 Committee.
Council Wide	Annual Governance Statement review of 2018-19 assurance statements	1	Final Report Issued	Satisfactory	Satisfactory	28/04/2020	
Council Wide	Freedom of Information	2	Final Report Issued	Substantial	Satisfactory	28/01/2020	
Council Wide	Local Government Association Peer Review	1	Interim Report	Not Applicable	Not Applicable	29/07/2020	Due to the significance, breadth and timeline for addressing the recommendations emanating from the Peer Review, this activity will continue to be delivered during 20/21. An interim report is presented to July 20 Committee, with subsequent updates/a final report when activity concluded.
Council Wide	Risk and Performance Reporting	1	Deferred				Activity put on hold and deferred into 20/21, due to C19 impact and additional ARA support provided to the Council in relation to Business Grants as a result of C19.
Council Wide	LGA and Workforce Plan transitional arrangements	2	Deferred				Activity deferred to ensure consideration of Council transformation following SLT appointment.
Council Wide	Capital Programme - limited assurance follow up	1	Final Report Issued	Satisfactory	Satisfactory	28/04/2020	Deferred from 18/19 plan.
Development Services	Gloucestershire Building Control Partnership	2	Final Report Issued	Limited	Limited	28/01/2020	
Development Services	Planning Applications	1	Audit in Progress				Terms of reference issued. Audit delayed due to C19 impact. Scheduling of final audit work to be agreed with the service.
Development Services	Private Sector Housing (empty homes)	2	Final Report Issued	Satisfactory	Limited	29/07/2020	
Customer Services	Business Continuity	1	Final Report Issued	Not Applicable	Not Applicable	29/07/2020	Brought forward from 18/19 plan. Consultancy.
Customer Services	Council Tax Support Scheme	2	Final Report Issued	Substantial	Substantial	28/01/2020	
Customer Services	Complaints Handling	2	Audit in Progress				Audit outcome to be reported to October 20 Committee.
Customer Services	Creditors - New Suppliers, Vendor Changes and Invoice Control	1	Final Report Issued	Satisfactory/Limited	Satisfactory/Limited	29/07/2020	Please see Attachment 1 for the detail behind the split assurance opinion on Creditors.
Customer Services	Small Business Rate Relief	2	Final Report Issued	Substantial	Substantial	28/01/2020	
Customer Services	Multi Services Contract	1	Final Report Issued	Satisfactory	Satisfactory	28/01/2020	
Finance	Medium Term Financial Plan	1	Final Report Issued	Substantial	Satisfactory	28/01/2020	
Finance	VAT Outputs	2	Final Report Issued	Satisfactory	Satisfactory	08/10/2019	
Tenant & Corporate Services	HRA Delivery Plan - Budget Savings	1	Deferred				Audit on hold and deferred to 20/21 due to C19 impact.
Tenant & Corporate Services	Housing Stock Void Management	2	Final Report Issued	Satisfactory	Satisfactory	08/10/2019	
Tenant & Corporate Services	Leavers Process	1	Final Report Issued	Satisfactory	Satisfactory	29/07/2020	
Tenant & Corporate Services	Littlecombe Scheme	2	Final Report Issued	Limited	Limited	28/04/2020	Internal Audit will undertake a follow up review in Qtr3 20/21 to ascertain progress with the recommendations made. Outcomes will be provided to the Audit and Standards Committee.
Tenant & Corporate Services	Local Government Transparency Code	2	Final Report Issued	Satisfactory	Satisfactory	08/10/2019	